



Report of Property Presumed Unclaimed

UCH-1
V3 12/2025

Name of Holder _____

Address _____

Address _____

City _____

State _____ Zip _____

☐ Check this box if your address has changed.

1. FEIN - Ext. _____

2. Acct ID - - U C H

3. Report Year

4. Report Number _____ See Instructions.
The original report is considered report number 1.

5. If you are no longer in business and want your account closed, enter your final date.
 - -

Section I:

☐ Non Life Insurance Company Holder Report
(Report Year July 1 through June 30)

☐ Life Insurance Company Holder Report
(Report Year January 1 through December 31)

\$ _____ **Total amount remitted** from Section II, page 2. Remit one payment for the total amount.
The amount remitted is for all items held and owing that have remained unclaimed, unpaid
and are presumed abandoned/unclaimed. See instructions for payment options.

_____ **Total number of properties reported** on Section II, page 2.

_____ **Total number of shares reported** on Section III, page 3.
For information related to the transfer of securities, see instructions on page 8.

Name of Contact _____ Address _____

Phone _____ Address _____

Email _____ City _____

State _____ ZIP _____

I, the undersigned, declare under penalty of perjury, that to the best of my knowledge and belief, the following is a true and complete report of unclaimed property now in possession or under control of the holder, which is presumed unclaimed in accordance with Montana law, 70-9-801 through 70-9-829, MCA. Written notice has been sent to the apparent owner as prescribed under Montana law, 70-9-808(5), MCA.

Name of Officer or Holder Authorized to Sign Report (please print) _____

Signature _____ Date _____

Title _____ Phone _____ Fax _____

Filing this Form:

E-File options available at
<https://revenue.mt.gov/unclaimed-property/>.

Mail the completed form to:

Montana Department of Revenue
PO Box 5805
Helena MT 59604-5805

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Section II

	Column 1 NAUPA Property Type Code	Column 2 Date of Last Transaction/ Date Payable (MMDDYYYY)	Column 3 Amount Due to Owner	Column 4 Owner Social Security Number or Federal Employee Identification Number (no dashes or slashes)	Column 5 Owner Name (last name, first name, middle initial)	Column 6 Last Known Mailing Address (street, city, state, zip code)
1.	<input type="text"/>	<input type="text"/>		<input type="text"/>		
2.	<input type="text"/>	<input type="text"/>		<input type="text"/>		
3.	<input type="text"/>	<input type="text"/>		<input type="text"/>		
4.	<input type="text"/>	<input type="text"/>		<input type="text"/>		
5.	<input type="text"/>	<input type="text"/>		<input type="text"/>		
6.	<input type="text"/>	<input type="text"/>		<input type="text"/>		
7.	<input type="text"/>	<input type="text"/>		<input type="text"/>		
8.	<input type="text"/>	<input type="text"/>		<input type="text"/>		
9.	<input type="text"/>	<input type="text"/>		<input type="text"/>		
10.	<input type="text"/>	<input type="text"/>		<input type="text"/>		
Total Amount Remitted \$						

Important: If additional space is needed, make copies of this page. If Section II is not reproduced exactly as formatted on this page, report processing will be delayed until we receive the correctly formatted information.

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Section III. CUSIP Number _____

	Column 1 NAUPA Property Type Code	Column 2 Date of Last Transaction/ Date Payable (MMDDYYYY)	Column 3 Number of Shares	Column 4 Owner Social Security Number or Federal Employee Identification Number (no dashes or slashes)	Column 5 Owner Name (last name, first name, middle initial)	Column 6 Last Known Mailing Address (street, city, state, zip code)
1.	<input type="text"/>	<input type="text"/>		<input type="text"/>		
2.	<input type="text"/>	<input type="text"/>		<input type="text"/>		
3.	<input type="text"/>	<input type="text"/>		<input type="text"/>		
4.	<input type="text"/>	<input type="text"/>		<input type="text"/>		
5.	<input type="text"/>	<input type="text"/>		<input type="text"/>		
6.	<input type="text"/>	<input type="text"/>		<input type="text"/>		
7.	<input type="text"/>	<input type="text"/>		<input type="text"/>		
8.	<input type="text"/>	<input type="text"/>		<input type="text"/>		
9.	<input type="text"/>	<input type="text"/>		<input type="text"/>		
10.	<input type="text"/>	<input type="text"/>		<input type="text"/>		
Total Number of Shares						

Important: If additional space is needed, make copies of this page. If Section III is not reproduced exactly as formatted on this page, report processing will be delayed until we receive the correctly formatted information.

Report of Property Presumed Unclaimed

Instructions for Reporting Property

If you have questions, please call us at (406) 444-6900.

General Information

What is Unclaimed Property? Any financial asset for which an owner has not generated activity during a period of time (see reporting requirements below) is considered unclaimed property. These assets may include uncashed checks, savings, checking, payroll (wages, bonuses, commissions), credit balances, money orders, customer deposits, travelers checks, stocks and bonds (uncashed dividends, interest checks, underlying shares principle), insurance proceeds, certificates of deposit, and other intangible interests or benefits.

What is the Holder's Responsibility? The holder of unclaimed property is required to send written notice to the apparent owner of property with a value exceeding \$50, not more than 120 days or less than 60 days before filing the report.

Please Note: Property owed to an unlocatable mineral owner in which a trust was created in a Montana District Court per 82-1-302, MCA, is payable based on the terms of the executed lease, ratification, a division order or any other related document or instrument. A form is not required to remit this property.

Important Reporting Information

- Retain a copy of the filed report for your records and mail the original to us with your remittance.
- We will allow a dormancy charge (service charge) to be deducted from mineral property presumed abandoned only if a valid and enforceable written contract exists between the holder and the owner under which the holder may impose the charge. The dormancy may only be deducted if the holder regularly imposes the charge and it is regularly reversed or otherwise canceled per 70-9-806, MCA.
- All property is required to be reported and remitted. However, the detail information regarding the unclaimed property owner is not required for items where the owner is unknown.

Filing Options

Electronic Filing. Please go to our TransAction Portal (TAP) to either upload or manually enter your Holder Report. Detailed instructions can be found on our website, <https://revenue.mt.gov/unclaimed-property/>.

Paper Filing. Follow the instructions below.

Instructions for Page 1

- Line 1 Enter your federal employer identification number (FEIN) on line 1. If you are filing for more than one location, include your extension number for the specific location that is reporting.
- Line 2 If you know your Account ID, provide it on line 2.
- Line 3 Enter the year in which the report period ends.
Life insurance companies' report year is January 1 through December 31, with the report due on May 1 of the following year.
For all other holders, the report year is July 1 through June 30, with the report due on November 1 of that year.
- Line 4 You may report more than once a year. In order for us to correctly process the additional reports, indicate the report number on line 4. Your original report is always considered report number 1. When you file an additional report, it is important that you do not include property that you listed on a previous report.
- Line 5 If you are no longer in business and are filing a final report, enter the date that your business ceased operations. If your address has changed from the last report filed, check the box provided.

Section I:

- Check the type of holder report being submitted.
- The amount remitted is for all items held and owing that has remained unclaimed, unpaid and is presumed abandoned. The amount remitted is the total from Section II, page 2. You can pay by:
 - Pay with an e-check on our TransAction Portal (TAP) at <https://tap.dor.mt.gov>
 - scheduling an ACH Credit payment through your bank. Visit <https://revenue.mt.gov/resources/ach-payments> for more information.
 - sending a check, along with the completed UCH-1 payment voucher.
- Enter the total number of properties you are reporting.
- The total number of shares reported is the total from Section III, page 2. See the instructions on page 8 for information related to the transfer of securities or call us at (406) 444-6900.

Instructions for Page 2

You may photocopy page 2 of this report if additional space is needed. A computer printout is acceptable in place of Section II and Section III of this report. If Sections II and III are not reproduced exactly as formatted on this page, report processing will be delayed until we receive the correctly formatted information. If a printout is used, the print size is required to be at least a 10-point font. Attach a copy of the printout to page 1 of this report.

Section II. Complete all columns.

Property owed to an unlocatable mineral owner in which a trust was created in a Montana District Court pursuant to 82-1-302, MCA, is payable based on the terms of the executed lease, ratification, a division order or any other related document or instrument. A form is not required to remit this property.

- Column 1 Enter the NAUPA property type code for each property reported. A detailed list of NAUPA codes is included in these instructions for your convenience.
- Column 2 Enter the date of the last transaction or date payable for the property being reported. Format the date as MMDDYYYY.
- Column 3 Enter the amount due to each owner.
- Column 4 For each owner, enter the social security number or federal employer identification number.
- Column 5 List each owner by last name, first name and middle initial.
- In the case of unclaimed funds held or owed under any life or endowment insurance policy or annuity contract, list the name of the insured or annuitant and the name of the beneficiary.
 - In the case of unclaimed insurance policy benefits, list the insured's or annuitant's name first and beneficiary's name second.
 - In the case of custodial or trustee accounts, list the owner's name first and the custodian's or trustee's name second.
 - In the case of cashier's checks or money orders, list the payee's name first and the remitter's name second.
- Column 6 For each owner, provide the last known mailing address including street, city, state and zip code.

Section III. Complete all columns.

Provide the CUSIP number for the securities being reported.

- Column 1 Enter the NAUPA property type code for each property reported. A detailed list of NAUPA codes is included in these instructions for your convenience.
- Column 2 Enter the date of the last transaction or date payable for the securities being reported.
- Column 3 Enter the number of shares for each owner.
- Column 4 For each owner, enter the social security number or federal identification number.
- Column 5 In alphabetical order, list each owner by last name, first name and middle initial.
- Column 6 For each owner, provide the last known mailing address including street, city, state and zip code.

NAUPA Adopted Standard Property Type Codes

The following codes have been endorsed by NAUPA for use by each state in the development of standard reporting; however, some states do not currently accept these codes. The State of Montana's agreement to utilize these codes is a step toward standardization in unclaimed property reporting.

Montana Department of Revenue

Property Codes with Dormancy Periods

NAUPA Code	Description	Dormancy Period	NAUPA Code	Description	Dormancy Period
Account Balance Due					
AC01	Checking Accounts	5	AC06	Security Deposits	5
AC02	Savings Accounts	5	AC07	Unidentified Deposits	5
AC03	Matured CD or Savings Certificate	5	AC08	Suspense Accounts	5
AC04	Christmas Club Account	5	AC99	Aggregate Account Balances	5
AC05	Money on Deposit to Secure Funds	5			
Uncashed Checks					
CK01	Cashier's Checks	5	CK10	Expense Checks	5
CK02	Certified Checks	5	CK11	Pension Checks	5
CK03	Registered Checks	5	CK12	Credit Checks or Memos – Non-Retail	5
CK04	Treasurer's Checks	5	CK13	Vendor Checks	5
CK05	Drafts	5	CK14	Checks Written off to Income	5
CK06	Warrants	5	CK15	Other Outstanding Official Checks	5
CK07	Money Orders	7	CK16	CD Interest Checks	5
CK08	Traveler's Checks	15	CK99	Aggregate Un-cashed Checks	5
CK09	Foreign Exchange Checks	5			
Educational Savings Accounts					
CS01	Educational Savings Accounts – Cash	3	CS03	Educational Savings Accounts – Securities	3
CS02	Educational Savings Accounts – Mutual Fund	3	CS04	Educational Savings Accounts – Reserved for future use	
Court Deposits					
CT01	Escrow Funds	1	CT04	Suspense Accounts	1
CT02	Condemnation Awards	1	CT05	Other Court Deposits	1
CT03	Missing Heirs' Funds	1	CT99	Aggregate Court Deposit	1
Health Savings Accounts					
HS01	Health Savings Account	3	HS02	Health Savings Account Investment	3
HS03	Health Savings Account – Reserved for future use				
Insurance					
IN01	Individual Policy Benefits or Claim Payment – All Other	5	IN05	Premium Refunds	5
IN21	Individual Policy Benefits or Claim Payment – Life, Endowment or Annuity	3	IN06	Unidentified Remittances	5
IN02	Group Policy Benefits or Claim Payments – All Others	5	IN07	Other Amounts due Under Policy Terms	5
IN22	Group Policy Benefits or Claim Payments – Life, Endowment or Annuity	3	IN08	Agent Credit Balances	5
IN03	Proceeds Due Beneficiaries	3	IN99	Aggregate Insurance Property	5
IN04	Proceeds from Matured Policies, Endowments or Annuities	3			
Individual Retirement Account					
IR01	Traditional IRA – Cash	3	IR06	Roth IRA – Mutual Funds	3
IR02	Traditional IRA – Mutual Funds	3	IR07	Roth IRA – Securities	3
IR03	Traditional IRA – Securities	3	IR08	Roth IRA – Reserved for future use	
IR04	Traditional IRA – Reserved for future use		IR09	IRA Other – Reserved for future use	
IR05	Roth IRA – Cash	3	IR10	IRA Other – Reserved for future use	

Montana Department of Revenue Property Codes with Dormancy Periods continued...

NAUPA Code	Description	Dormancy Period	NAUPA Code	Description	Dormancy Period
Mineral Proceeds & Mineral Interest					
MI01	Net Revenue Interest	5	MI06	Bonuses	5
MI02	Royalties	5	MI07	Delay Rentals	5
MI03	Overriding Royalties	5	MI08	Shut-in Royalties	5
MI04	Production Payments	5	MI09	Minimum Royalties	5
MI05	Working Interest	5	MI99	Aggregate Mineral Proceeds	5
Miscellaneous Checks & Intangible Personal Property					
MS01	Wages. Payroll, Salary	1	MS11	Refunds Due – Retail	3
MS02	Commissions	1	MS12	Unredeemed Gift Certificates	3
MS03	Workers Compensation Benefits	5	MS13	Unclaimed Loan Collateral	5
MS04	Payments for Goods & Services	5	MS14	Pension & Profit Sharing – IRA/Keogh	3
MS05	Customer Overpayments - Retail	3	MS15	Dissolution or Liquidation	1
MS06	Unidentified Remittance	5	MS16	Misc. Outstanding Checks	5
MS07	Un-refunded Overcharges – Retail	3	MS17	Misc. Intangible Property	5
MS08	Accounts Payable	5	MS18	Suspense Liabilities	5
MS09	Credit Balance – Accounts Receivable – Retail	3	MS99	Aggregate Misc. Property	5
MS10	Discount Due - Retail	3			
Securities					
SC01	Dividends	3	SC12	Underlying Shares or Other Outstanding Certificates	3
SC02	Interest (Bond Coupons)	3	SC13	Funds for Liquidation/Redemption of Un-surrendered Stocks or Bonds	3
SC03	Principal Payments	3	SC14	Debentures	3
SC04	Equity Payments	3	SC15	US Gov't Securities	3
SC05	Profits	3	SC16	Mutual Fund Shares	3
SC06	Funds Pd to Purchase Shares	3	SC17	Warrant (Rights)	3
SC07	Funds for Stocks & Bonds	3	SC18	Mature Bond Principal	3
SC08	Shares of Stock (Returned by Post Office)	3	SC19	Dividend Reinvestment Plans	3
SC09	Cash for Fraction Shares	3	SC20	Credit Balances	3
SC10	Un-exchanged Stock of Successor Corp	3	SC99	Aggregate Security Related Cash	3
SC11	Other Cert of Ownership	3			
Safe Deposit Boxes & Safekeeping					
SD01	SD Box Contents	5	SD04	Unclaimed Loan Collateral	5
SD02	Other Safekeeping	5	SD05	Liquidated Assets	5
SD03	Other Tangible Property	5			
Trust Investment & Escrow Accounts					
TR01	Paying Agent Account	5	TR04	Escrow Accounts	5
TR02	Undelivered or Uncashed Dividends	5	TR05	Trust Vouchers	5
TR03	Funds held in Fiduciary Capacity	5	TR99	Aggregate Trust Property	5
Utilities					
UT01	Utility Deposits	1	UT04	Capital Credit Distributions	5
UT02	Membership Fees	1	UT99	Aggregate Utilities	1
UT03	Refunds or Rebates	1			
Other					
ZZZZ	Property Not Identified Above	5			



Reporting and Delivery of Securities Property

When reporting securities, remember that your report is not complete until you provide evidence of the property being transferred into the ownership of the State of Montana. The required evidence depends on the type of security and how it will be delivered to our custodian on behalf of the state.

I. STOCK REMITTANCES

Five days prior to delivery of securities, you must e-mail your intent to deliver to Montana's custodian via an Excel Document:

- (1) MontanaCustody@kelmarassoc.com
- (2) DORUCPSecurities@mt.gov

Your emailed Excel Document is required to include:

- Issue name
- CUSIP
- Ticker symbol
- Number of shares
- Delivering party's DTC participant number or delivering information

Registration and Delivery of Stock When possible, stock, including foreign stock, should be delivered via Automated Customer Account Transfer services (ACATS) to:

Wells Fargo Clearing Services, LLC
ACATS Participant #0141
Reference: State of Montana, Department of Revenue
Account # 5525-3957

If stock cannot be delivered via ACATS, alternate electronic methods such as DTC Free Delivery, DRS or DWAC may be used. If stock cannot be delivered electronically, stock may be registered in Book Entry Shares/Direct Registration Shares (DRS). If stock cannot be delivered through any of these methods, please contact MontanaCustody@kelmarassoc.com for alternate delivery methods.

Physical Certificates should only be sent for stocks which cannot be sent via ACATS, DTC Free, Delivery, DWAC or DRS, and must be registered as stated below:

State of Montana, Department of Revenue
Acct # 5525-3957
FEIN # 810302402
125 N Roberts St. Helena, MT 59601

Physical certificates must be sent via certified mail. The certificates must be mailed to:

Kelmar Associates, LLC.
ATTN: Kelmar Custody
500 Edgewater Drive, Suite 525 525
Wakefield, MA 01880

For Certificates not in the name of "Montana", they must be negotiable with all required documents for reregistration into the name of "Montana" including signed stock power, letter of authorization allowing reregistration to Montana, and corporate resolution or the certificates will be returned.

II. MUTUAL FUNDS Registration of Mutual Funds

Mutual Fund Transfer via ACATS

When possible, mutual funds should be transferred via ACATS as stated below:

Wells Fargo Clearing Services, LLC

ACAT Participant # 0141

Reference: State of Montana, Department of Revenue

Account # 5525-3957

Mutual Fund Direct Transfer

For mutual fund shares unable to be transferred via ACATS, please contact MontanaCustody@kelmarassoc.com to obtain a fund account number. If an "Omnibus Account" is provided as a fund account for mutual funds, please reference Bin#5525-3957 during the delivery.

CASH option must be selected for all dividend and capital gains registration.

Closed-End Funds

Closed-end mutual funds must be transferred using the above instructions for Registration and Delivery of Stock.

Dividend Reinvestment Plans(DRP)

Dividend Reinvestment Plan (DRP) accounts should be closed prior to reporting to UPD. Fractional shares must be liquidated, and the proceeds should be included with your annual report and remittance. Liquidate fractional shares at the property level; do not total fractions for all owners prior to sale. When reporting proceeds from fractional shares, the cash value should be reported as cash for fractional shares for each individual owner using NAUPA code SC09. Whole shares should be remitted via ACATS to our custodian following the Registration and Delivery of Stock instructions above.

Non-Transferable Securities

Do not remit worthless and non-freely transferable securities. At such time that the securities gain value and become transferable, they should be reported and delivered during the next reporting cycle.

Worthless Shares Definition– Cost to deliver or receive the security exceeds the value, shares if they are valued less than \$0.01/share or are deemed non-transferable (i.e., chilled, frozen, restricted, etc).

Contact Information

Send all questions pertaining to securities registration and instructions to MontanaCustody@kelmarassoc.com.